

SAN ANTONIO WATER SYSTEM San Antonio River Outfall Pipeline Project No. 1 SAWS Job No. 11-2509 Solicitation No. B-13-CD-067-CM

> ADDENDUM NO. 3 November 5, 2013

TO BIDDER OF RECORD:

The following changes, additions, and/or deletions are hereby made a part of the Contract Documents for the construction of the San Antonio River Outfall Pipeline Project No. 1, for the San Antonio Water System, San Antonio, Texas, Dated October 2013, as fully and completely as if the same were set forth therein.

Important Notice: The date for the submission of Bids has been changed to Monday, November 11, 2013 at 2:00 PM.

PART 1 – BIDDING AND CONTRACT DOCUMENTS

- 1. INVITATION TO BIDDERS:
 - A. REVISE the last paragraph as follows:

"Sealed bids will be received by the Contract Administration Division, 2800 U.S. Hwy 281 North, Customer Center Building, Suite 171, San Antonio, Texas 78212, until <u>2:00</u> <u>p.m., November 11, 2013</u>. Bids will then be publicly opened and read aloud in Contract Administration, Suite 169, Customer Center Building, 2800 U.S. Hwy 281 North, San Antonio, Texas. Each bid must be accompanied by a cashier's check, certified check, or bid bond in an amount not less than five percent of the total bid price."

2. BID PROPOSAL: REPLACE this section in its entirety with the attached section.

PART 2 – TECHNICAL SPECIFICATIONS

- 1. SECTION 02700, HDPE PIPING:
 - A. Paragraph 2.01.A.2: REVISE the paragraph as follows:

"Solid wall high density polyethylene for pressure sewer siphon pipe and air piping shall meet the applicable requirements of AWWA C906 "Polyethylene (PE) Pressure Pipe and Fittings, 4 through 64 Inches, for Water Distribution" (Iron Pipe Sizing), ASTM F714 "Polyethylene (PE) Plastic Pipe (SDR-PR) Based on Outside Diameter", ASTM D3350, Iron Pipe Sizing."

B. Paragraph 2.01.A.5.a: REVISE the paragraph as follows:
 "Pressure Applications: AWWA C906 DR-17 Pressure Class 125 psi, Iron Pipe Sizing."

PART 3 – DRAWINGS

1. SHEET DT1, TRENCHING DETAILS – 1:

A. DETAIL 2, TYPICAL SIPHON CROSS-SECTION: REVISE the cross-section figure notes and leaders as follows:

- i. REVISE "42"/36"/30" HDPE (TYP.)" note and leader as follows: "48"/42"/36" HDPE (TYP.)".
- ii. REVISE "20"/18"/16" HDPE (TYP.)" note and leader as follows: "24"/20"/18" HDPE (TYP.)".
- iii. REVISE "18" AIR BYPASS HDPE" note and leader as follows: "22" HDPE AIR BY-PASS".
- B. DETAIL 2, TYPICAL SIPHON CROSS-SECTION: REPLACE "Table 2 Encasement" with the following table:

STATION	ENCASEMENT TYPE	DETAIL/SHEET
33+00 TO 48+85	INITIAL BACKFILL	1A/DT1
48+85 TO 54+18	CONCRETE	3/DT2
54+18 TO 57+75	INITIAL BACKFILL	1A/DT1
57+75 TO 65+12	INITIAL BACKFILL	1A/DT1

2. SHEET DT5, MANHOLE DETAILS - 3: REPLACE this drawing in its entirety with the attached sheet.

ALL BIDDERS SHALL ACKNOWLEDGE RECEIPT OF ADDENDUM NO. 3 IN THE BID FORM AND BY HIS/HER SIGNATURE AFFIXED HERETO AND TO FILE SAME AS AN ATTCHMENT TO HIS/HER BID. BID FORMS SUBMITTED WITHOUT THIS ACKNOWLEDGEMENT WILL BE CONSIDERED INFORMAL.



David T. Bennett, P.E.

Freese and Nichols, Inc.

FREESE AND NICHOLS, INC. TEXAS REGISTERED ENGINEERING FIRM F-2144

ACKNOWLEDGEMENT BY BIDDER

THE UNDERSIGNED ACKNOWLEDGES RECEIPT OF THIS ADDENDUM NO. 3 AND THE BID SUBMITTED HEREWITH IS IN ACCORDANCE WITH THE INFORMATION AND STIPULATION SET FORTH.

Date

Signature of bidder

END OF ADDENDUM NO. 3

BID PROPOSAL

PROPOSAL OF,	
a corporation	
a partnership consisting of	
an individual doing business as	

THE SAN ANTONIO WATER SYSTEM:

Pursuant to Instructions and Invitations for Competitive Sealed Proposals, the undersigned proposes to furnish all labor and materials as specified and perform the work required for the construction of the **SAN ANTONIO RIVER OUTFALL PIPELINE PROJECT**, San Antonio Water System Job Number 11-2509 in accordance with the Plans and Specifications for the following prices, to wit:

BID ITEMS

ITEM NO.	ITEM DESCRIPTION (Price to be written in words)	UNIT	ESTIMATED QUANTITY	UNIT PRICE (FIGURES)	TOTAL PRICE (FIGURES)	
Α	SANITARY SEWER BASE BID ITEMS					
02623- 48a	48" FRP GRAVITY SEWER LINE (INSTALL BY OPEN CUT), SN 72 (ALL DEPTHS) Dollars	LF	4,570	\$	\$	
	and Cents					
02700- 48a	48" HDPE SIPHON LINE, DR 17 (IPS) (ALL DEPTHS) Dollars	LF	804	\$	\$	
	and Cents					
02700- 42a	42" HDPE SIPHON LINE, DR 17 (IPS) (ALL DEPTHS) Dollars and Cents	LF	1,585	\$	\$	
02700- 36a	36" HDPE SIPHON LINE, DR 17 (IPS) (ALL DEPTHS) Dollars and Cents	LF	123	\$	\$	
02700- 24a	24" HDPE SIPHON LINE, DR 17 (IPS) (ALL DEPTHS) Dollars and Cents	LF	804	\$	\$	
02700- 20a	20" HDPE SIPHON LINE, DR 17 (IPS), (ALL DEPTHS) Dollars and Cents	LF	1,585	\$	\$	
02700- 18a	18" HDPE SIPHON LINE, DR 17 (IPS), (ALL DEPTHS) Dollars and Cents	LF	123	\$	\$	

ITEM NO.	ITEM DESCRIPTION (Price to be written in words)	UNIT	ESTIMATED QUANTITY	UNIT PRICE (FIGURES)	TOTAL PRICE (FIGURES)
	30" FRP SIPHON LINE, SN 72 (ALL DEPTHS)				
02623-30	Dollars	LF	28	\$	\$
	and Cents				
	16" PVC SIPHON LINE, C-905 DR 41, (ALL DEPTHS)				
848-16	Dollars	LF	19	\$	\$
	and Cents				
	22" HDPE AIR PIPING , DR 17 (IPS), (ALL DEPTHS)				
02700- 22a	Dollars	LF	2,512	\$	\$
	and Cents				
	18" HDPE AIR PIPING , DR 17 (IPS), (ALL DEPTHS)				
02700- 18b	Dollars	LF	16	\$	\$
	and Cents				
	48" FRP GRAVITY SEWER LINE, SN 72, CARRIER PIPE WITHIN CASING PIPE				
02623- 48b	Dollars	LF	75	\$	\$
	and Cents				
	48" HDPE SIPHON LINE, DR 17 (IPS), CARRIER PIPE WITHIN CASING PIPE				
02700- 48b	Dollars	LF	285	\$	\$
	and Cents				
	36" HDPE SIPHON LINE, DR 17 (IPS), CARRIER PIPE WITHIN CASING PIPE				
02700- 36b	Dollars	LF	420	\$	\$
000	and Cents				
	24" HDPE SIPHON LINE, DR 17 (IPS), CARRIER PIPE WITHIN CASING PIPE				
02700- 24b	Dollars	LF	285	\$	\$
	and Cents				
	18" HDPE SIPHON LINE, DR 17 (IPS), CARRIER PIPE WITHIN CASING PIPE				
02700- 18c	Dollars	LF	420	\$	\$
	and Cents				
	22" HDPE AIR PIPING, DR 17 (IPS), CARRIER PIPE WITHIN CASING PIPE				
02700- 22b	Dollars	LF	705	\$	\$
220	and Cents				

ITEM NO.	ITEM DESCRIPTION (Price to be written in words)	UNIT	ESTIMATED QUANTITY	UNIT PRICE (FIGURES)	TOTAL PRICE (FIGURES)
856-66	66" STEEL CASING (BY BORE)	ars LF	360	\$	\$
	and Cen	ts		*	- [·
856-54	54" STEEL CASING (BY BORE)	ars LF	420	\$	\$
000-04	and Cen		420	Φ	- \$
050.40	42" STEEL CASING (BY BORE)	ars	000		
856-42	and Cen	ts	990	\$	_ \$
	36" STEEL CASING (BY BORE) Dolla	ars			
856-36	and Cen	ts	420	\$	_ \$
	48" CURED-IN-PLACE-PIPE				
02724	Dolla		30	\$	\$\$
	and Cen	ts			
1109	6" SANITARY SEWER SERVICE CONNECTION Dolla	ars EA	1	\$	\$
	and Cen				
550	TRENCH EXCAVATION SAFETY PROTECTION Dolla	ars LF	7,110	\$	\$
000	and Cen		7,110	Ψ	_ \
SS853-1	48" FRP TEE BASE MANHOLE	ars EA	11	\$	
55055-1	and Cen		11		_ \$
	48" FRP TEE BASE MANHOLE WITH VENT				
SS853-2	Dolla	ars EA	3	\$	\$
	and Cen	ts			
SS853-3	TEE BASE MANHOLE EXTRA DEPTH > 15 FT Dolla	ars VF	6	\$	\$
00000-0	and Cen		0	Ψ	-
	TYPE "C" SANITARY SEWER STRUCTURE				
850-1	and Cen	EA	3	\$	_ \$

ITEM NO.	ITEM DESCRIPTION (Price to be written in words)		UNIT	ESTIMATED QUANTITY	UNIT PRICE (FIGURES)	TOTAL PRICE (FIGURES)
	TEMPORARY BY-PASS VAULT					
02700-1		Dollars	EA	1	\$	\$
	and	Cents				
	48" SIPHON ACCESS VAULT					
02700-2		Dollars	EA	2	\$	\$
02700-2	and	Cents		2	Ψ	Ψ
	42" SIPHON ACCESS VAULT					
		Dollars		1.5	_	
02700-3		0	EA	10	\$	\$
	and	Cents				
	28" AIR BY-PASS ACCESS VAULT	Dellara				
02700-4			EA	1	\$	\$
	and	Cents				
	SIPHON #1 OUTLET STRUCTURE					
850-2a		Dollars	EA	1	\$	\$
	and	Cents				
	SIPHON #1 INLET STRUCTURE					
850-2b		Dollars	EA	1	\$	\$
	and	Cents				
	SIPHON #2 OUTLET STRUCTURE					
850-3a		Dollars	EA	1	\$	\$
	and	Cents				
	SIPHON #2 INLET STRUCTURE					
850-3b		Dollars	EA	1	\$	\$
	and	Cents				
	JUNCTION BOX					
850-4		Dollars	EA	1	\$	\$
	and	Cents				
	EFFLUENT DIVERSION BOX CONNECTION					
01030-1		Dollars	LS	1	\$	\$
	and	Cents				
	EFFLUENT DIVERSION BOX WALL REPAIR					
01030-2		Dollars	SF	50	\$	\$
	and	Cents				
	HEADWORKS INFLUENT CHANNEL CONNE	ECTION				
01030-3		Dollars	LS	1	\$	\$
	and	Cents				
	REMOVAL OF EXISTING MANHOLE					
862-1		Dollars	EA	2	\$	\$
	and	Cents				

ITEM NO.	ITEM DESCRIPTION (Price to be written in words)	UNIT	ESTIMATED QUANTITY	UNIT PRICE (FIGURES)	TOTAL PRICE (FIGURES)
848-48	REMOVAL OF EXISTING 48" SANITARY SEWER PIPE Dollars	LF	40	\$	s
	and Cents		-	*	
	REMOVAL OF EXISTING 42"/24" SIPHON PIPE				
848-42	Dollars	LF	40	\$	\$
	and Cents				
	ABANDON EXISTING MANHOLE Dollars				
862-2	and Cents	EA	4	\$	\$
	ABANDON EXISTING SIPHON STRUCTURE				
862-3	Dollars	EA	2	\$	\$
	and Cents			*	
	CELLULAR GROUT FILL OF EXISTING 48" SANITARY SEWER LINE				
02218-1	Dollars	LF	1,125	\$	\$
	and Cents				
	CELLULAR GROUT FILL OF EXISTING DUAL 42"/24" SANITARY SEWER LINE				
02218-2	Dollars	LF	785	\$	\$
	and Cents				
	FLOW MANAGEMENT				
01001	Dollars	LS	1	\$	\$
	and Cents				
	REMOVE AND REPLACE ASPHALT PAVEMENT				
230	Dollars	SY	1,433	\$	\$
	and Cents				
	REMOVE AND REPLACE GRAVEL PAVEMENT				
503	Dollars	SY	1,770	\$	\$
	and Cents				
	REMOVE AND REPLACE CHAINLINK FENCE				
507	Dollars	LF	355	\$	\$
	and Cents REMOVE AND REPLACE BARBED WIRE FENCE (STEEL OR WOOD POSTS)				
508-1	Dollars	LF	2,030	\$	\$
	and Cents				

ITEM NO.	ITEM DESCRIPTION (Price to be written in words)		UNIT	ESTIMATED QUANTITY	UNIT PRICE (FIGURES)	TOTAL PRICE (FIGURES)
	INSTALL 16' TYPE 1 OR TYPE 2 GATE					
508-2		Dollars	EA	3	\$	\$
	and	_ Cents				
	PIPELINE MARKER					
848-1		_ Dollars	EA	11	\$	\$
	and	_ Cents				
	SEEDING					
520		_ Dollars	SY	75,511	\$	\$
	and	_ Cents				
	TREE PROTECTION					
101-1		_	LS	1	\$	\$
	and	_				
	EROSION AND SEDIMENTATION CONTRO (SWPPP)	DLS				
02290		_ Dollars	LS	1	\$	\$
	and	Cents				
	TRAFFIC CONTROL FOR BLUE WING ROA					
	CENTER ROAD				•	
530		_ Dollars	LS	1	\$	\$
	and	_ Cents				
	ROADWA	AY BASE BID	ITEMS	FOR SOUTH	TON ROAD	
	EXCAVATION (ROADWAY)					
TXDOT 110-2004		_ Dollars	CY	250	\$	\$
	and	_ Cents				
	BARRICADES, SIGNS, AND TRAFFIC HAN	DLING				
TXDOT 502-2001		Dollars	MO	2	\$	\$
002 2001	and	_ Cents				
	REFL PAV MKD TY I (W) 4" (SLD)(100MIL)					
TXDOT		Dollars	LF	2,245	\$	\$
666-2012	and	Cents				
	REFL PAV MKD TY I (Y) 4" (SLD)(100MIL)	_				
TXDOT		_ Dollars	LF	2,245	\$	\$
666-2111	and	_ Cents	-	,		
	REFL PAV MKD TY II (W) 4" (SLD)					
TXDOT	· · · · ·	_ Dollars	LF	2,245	\$	\$
666-2145	and	Cents		_,	*	*

ITEM NO.	ITEM DESCRIPTION (Price to be written in words)		UNIT	ESTIMATED QUANTITY	UNIT PRICE (FIGURES)	TOTAL PRICE (FIGURES)
	REFL PAV MKD TY II (Y) 4" (SLD)					
TXDOT 666-2178	C	Dollars	LF	2,245	\$	\$
	and0	Cents				
TYDOT	REMOVING STABILIZED BASE AND ASPHAL PAVEMENT	.Τ				
TXDOT 105-2046	C	Dollars	SY	1,418	\$	\$
	and (Cents				
TYPOT	EMBANKMENT (FINAL) (ORDINARY COMPAC (TY B)	CTION)				
TXDOT 132-2003	C	Dollars	CY	278	\$	\$
	and0	Cents				
	BACKFILL (TY A OR B)					
TXDOT	C	Dollars	STA	15	\$	\$
134-2004	and	Cents	-	-	*	*
	COMPOST MANUFACTURED TOPSOIL (BOS					
TXDOT	C	ollars	SY	1,924	\$	\$
161-2002	and0		01	1,524	Ψ	Ψ
	DRILL SEEDING (PERM) (RURAL) (CLAY)	Conto				
TXDOT		Dollars	0)/	4 00 4	^	•
164-2035			SY	1,924	\$	\$
		Cents				
TXDOT	VEGETATIVE WATERING					
168-2001	L	Dollars	MG	31	\$	\$
		Cents				
	SOIL RETENTION BLANKET (CL1) (TYA)					
TXDOT 169-2001	C	Dollars	SY	663	\$	\$
	and0	Cents				
	ASPHALT (CRS-2P)					
TXDOT 316-2011	C	Dollars	GAL	81	\$	\$
010 2011	and 0	Cents				
	AGGREGATE TY-E (TRAP ROCK) GRADE-3					
TXDOT	۲ C	Dollars	CY	2	\$	\$
316-2196		Cents				
	AGGREGATE TY-E (TRAP ROCK) GRADE-5					
TXDOT		Dollars	CY	2	\$	\$
316-2201		Cents	01	-	·	*
	D-GR HMA (QCQA) TY-B PG64-22					
TXDOT		Dollars	TON	004	¢	¢
3224- 2008			TON	624	\$	\$
	and0	Cents				

ITEM NO.	ITEM DESCRIPTION (Price to be written in words)		UNIT	ESTIMATED QUANTITY	UNIT PRICE (FIGURES)	TOTAL PRICE (FIGURES)
TXDOT	D-GR HMA (QCQA) TY-C PG70-22					
3224-	C	Dollars	TON	27	\$	\$
2028	and	Cents				
	PORTABLE CONCRETE TRAFFIC BARRIER & INSTALL) (LOW PROFILE) (TYPE 1)	(FURNISH				
TXDOT 512-2008	[Dollars	LF	500	\$	\$
0.2 2000	and					
	PORTABLE CONCRETE TRAFFIC BARRIER & INSTALL) (LOW PROFILE) (TYPE 2)	(FURNISH				
TXDOT 512-2009	c	Dollars	LF	120	\$	\$
	and	Cents				
TXDOT	PORTABLE CONCRETE TRAFFIC BARRIER (LOW PROFILE) (TYPE 1)	(REMOVE)				
512-2044	C	Dollars	LF	500	\$	\$
	and	Cents				
TYPOT	PORTABLE CONCRETE TRAFFIC BARRIER (LOW PROFILE) (TYPE 2)	(REMOVE)				
TXDOT 512-2045	C	Dollars	LF	120	\$	\$
	and	Cents				
	ELIMINATE EXISTING PAVEMENT MARKING MARKERS (4")	SS &				
TXDOT 677-2001		Dollars	LF	3,391	\$	\$
077-2001	and	Cents				
	WORK ZONE PAVEMENT MARKING NON- REMOVABLE (W) (4 IN) (SLD)					
TXDOT 662-2001	c	Dollars	LF	2,207	\$	\$
	and	Cents				
	WORK ZONE PAVEMENT MARKING REMOV (4 IN) (SLD)	ABLE (W)				
TXDOT 662-2099	C	Dollars	LF	2,252	\$	\$
	and	Cents				
	RELOCATED SMALL ROAD SIGN SUPPORT ASSEMBLY (FINAL)	AND				
TXDOT 644-2080		Dollars	EA	1	\$	\$
		Cents				
		BID SUM	MMARY	<u>,</u>		
	LINE ITEM "A"					
	SUBTOTAL BASE BID				\$	

ITEM NO.	ITEM DESCRIPTION (Price to be written in words)	UNIT	ESTIMATED QUANTITY	UNIT PRICE (FIGURES)	TOTAL PRICE (FIGURES)
100	Mobilization and Demobilization: This item includes project move-in and move-out of personnel and equipment, for work shall include furnishing all labor, materials, tools, equipment and incidentals required to mobilize, demobilize, bond and insure the Work for the <i>SAN ANTONIO RIVER OUTFALL PIPELINE PROJECT</i> <i>NO. 1</i> , in accordance with the contract documents, complete in place.				
	Percent of the <u>Line Item "A"</u> , Subtotal Base Bid written in words Percent (Maximum of 10% of <u>Line Item "A"Subtotal Base Bid</u> <u>Amoun</u> t)	LS	1	\$	\$
101-2	Preparing Right-of-Way: This item includes removing and disposing of all obstructions from the right-of-way and from designated easements where removal of such obstructions is not otherwise provided for in the Drawings and Specifications. Work shall include furnishing all labor, materials, tools, equipment, incidentals required, complete in place.				
	Percent of the <u>Line Item "A"</u> , Subtotal Base Bid written in words Percent (Maximum of 5% of <u>Line Item "A" Subtotal Base Bid</u> <u>Amoun</u> t)	LS	1	\$	\$
	MOBILIZATION, DEMOBILIZATION, AND PREPARING RIGHT-OF-WAY SUBTOTAL			\$	

Job No. 11-2509 (SEWER) SAN ANTONIO RIVER OUTFALL PIPELINE PROJECT NO. 1 Solicitation No. B-13-CD-067-CM

Mobilization and Demobilization lump sum bid shall be limited to a maximum 10% of the Line Item "A" Subtotal Base Bid Amount. Preparing Right-of-Way lump sum bid shall be limited to a maximum of 5% of the Line Item "A" Subtotal Base Bid Amount. The Line Item "A" Subtotal Base Bid Amount is defined as all bid items EXCLUDING Item 100, Mobilization and Demobilization and Item 101, Preparing Right-of-Way. In the event of a dsicrepancy between the written percentage and dollar amount shown for Mobilization and Demobilization and/or Preparing Right-of-Way bid items, the bid item's written percentage will govern. If the percentage written exceeds the allowable maximum stated for Mobilization and Demobilization and/or Preparing Right-of-Way, SAWS reserves the right to cap the amount at the percentage shown and adjust the extensions of the bid item accordingly.

TOTAL BID AMOUNT (LINE ITEM "A", MOBILIZATION, DEMOBILIZA PREPARING RIGHT-OF-WAY)	ATION & \$
	DOLLARS
AND	CENTS

BIDDER'S SIGNATURE & TITLE

FIRM'S NAME (TYPE OR PRINT)

FIRM'S ADDRESS

FIRM'S PHONE NO./FAX NO.

FIRM'S PHONE EMAIL ADDRESS

The Contractor herein acknowledges receipt of the following: Addendum Nos.

OWNER RESERVES THE RIGHT TO ACCEPT THE OVERALL MOST RESPONSIBLE BID.

The Work included in this Bid Proposal shall be substantially complete, as defined in the General Conditions, within <u>330</u> calendar days and final completion within <u>360</u> calendar days. The Bidder understands and accepts the provisions of the Contract **Documents relating to liquidated damages of the Project if not completed on time**. Complete the additional requirements of the Proposal which are included on the following pages.

PROPOSAL CERTIFICATION

Accompanying this proposal is a Bid Bond or Certified or Cashier's Check on a State or National Bank payable to the Order of the San Antonio Water System for ________ dollars (\$_______), which amount represents five percent (5%) of the total bid price. Said bond or check is to be returned to the bidder unless the proposal is accepted and the bidder fails to execute and file a contract within <u>10</u> calendar days after the award of the Contract, in which case the check shall become the property of said San Antonio Water System, and shall be considered as payment for damages due to delay and other inconveniences suffered by said San Antonio Water System due to the failure of the bidder to execute the contract. The San Antonio Water System reserves the right to reject any and all bids.

It is anticipated that the Owner will act on this proposal within <u>60</u> calendar days after the bid opening. Upon acceptance and award of the contract to the undersigned by the Owner, the undersigned shall execute standard San Antonio Water System Contract Documents and make Performance and Payment Bonds for the full amount of the contract within <u>10</u> calendar days after the award of the Contract to secure proper compliance with the terms and provisions of the contract, to insure and guarantee the work until final completion and acceptance, and the guarantee period stipulated, and to guarantee payment of all lawful claims for labor performed and materials furnished in the fulfillment of the contract.

It is anticipated that the Owner will provide written Authorization to Proceed within 30 days after the award of the Contract.

The work called for in this Contract shall commence on the date indicated in the SAWS written Authorization to Proceed Under no circumstances shall the work commence prior to the date provided for in the SAWS issued, written Authorization to Proceed. Work shall be completed in full within _____ consecutive calendar days.

The undersigned certifies that the bid prices contained in the proposal have been carefully checked and are submitted as correct and final.

The undersigned further acknowledges compliance with "Wage and Labor Standard Provisions" of this contract and the use of the Blue Book rental rates for establishment of equipment rental rates whether owned or leased during the course of this Contract.

In completing the work contained in this proposal the undersigned certifies that bidder's practices and policies do not discriminate on the grounds of race, color, religion, sex or national origin and that the bidder will affirmatively cooperate in the implementation of these policies and practices.

Signed:

Company Representative

Company Name

Address

Please return bidder's check to:

Company Name

Address



EXHIBIT "B"

Good Faith Effort Plan for Construction **SUBCONTRACTS**

for

NAME OF PROJECT:	_					
SECTION A - CONTRACTO	OR INFOR	MATION	:			
Name of Firm:						
Address:						
City:				State:		Zip:
Contact Person:				Telephone:		
Email Address:					Fax:	
Is your firm Certified:	Yes		No:	Certificatio	on Agency that granted tificate/s:	d
Type of Certification:		SBE		WBE	MBE	

1. List ALL SUBCONTRACTORS/SUPPLIERS that will be utilized on this project/contract.

	Name & Address of Company	Scope of Work/Supplies to be Performed/Provided by Firm	Estimated Contract (dollar) Amount on this Project	Certification Type & Certification Agency
1.				
2.				
3.				
4.				
5.				

SECTION B. – SMWB COMMITMENTS

The SMWB goal on this project is <u>17</u>%

1. The undersigned proposer has satisfied the requirements of the BID specification in the following manner (please check the appropriate space):

_____ The proposer is committed to a minimum of <u>17 %</u> SMWB utilization on this contract.

_____ The proposer, (if unable to meet the SMWB goal of <u>17%</u>), is committed to a minimum of <u>%</u> SMWB utilization on this contract. (If contractor is unable to meet the goal, please fill out Section C and submit documentation demonstrating good faith efforts).

2. Name and phone number of person appointed to coordinate and administer the SMWB requirements on this project.

Name:	
Title:	
Phone Number:	

IF THE SMWB GOAL WAS MET, PROCEED TO AFFIRMATION AND SIGN THE GFEP. IF GOAL WAS NOT MET, PROCEED TO SECTION C.

SECTION C – GOOD FAITH EFFORTS (Fill out only if the SMWB goal was not achieved).

List all firms you contacted with subcontracting/supply opportunities for this project that will not be utilized for the contract by choice of the proposer, subcontractor, or supplier. Written notices to firms contacted by the proposer for specific scopes of work identified for subcontracting/supply opportunities must be provided to subcontractor/supplier not less than five (5) business days prior to bid/proposal due date. The following information is required for all firms that were contacted of subcontracting/supply opportunities.

opportunities.				
Name & Address of Company	Scope of Work/Supplies to be Performed/Provided by Firm	Is Firm SMWB Certified?	Date Written Notice was Sent & Method (Fax, Letter, E-Mail, etc.)	Reason Agreement was not reached?
1.				
2.				
3.				
4.				
5.				
6.				
7.				
	<i>t</i>			

(Use additional sheets as needed)

In order to verify a proposer's good faith efforts, please provide to SAWS copies of the written notices to all firms contacted by the proposer for specific scopes of work identified in relation to the subcontracting/supply opportunities in the above named project. Copies of said notices must be provided to the SMWB Program Manager within five (5) business days after the response is due. Such notices shall include information on the plans, specifications, and scope of work.

- 2. Did you attend the pre-bid conference scheduled for this project? _____ Yes _____ No
- 3. List all SMWB listings or directories, contractor associations, and/or any other associations utilized to solicit SMWB Subcontractors/suppliers.
- 4. Discuss efforts made to define additional elements of the work proposed to be performed by SMWBs in order to increase the likelihood of achieving the goal:
- 5. Indicate advertisement mediums used for soliciting bids from SMWBs. (Please attach a copy of the advertisement(s):

AFFIRMATION

I hereby affirm that the above information is true and complete to the best of my knowledge. I further understand and agree that, this document shall be attached thereto and become a binding part of the contract.

Name and Title of Authorized Official:

Title:

Name: ______

Signature:_____Date:_____Date:_____

NOTE:

This Good Faith Effort Plan is reviewed by SAWS Contracting Department. For questions and/or clarifications, please contact Marisol V. Robles, SMWB Manager, at 210-233-3420.

DEFINITIONS:

Prime Consultant/Contractor: Any person, firm partnership, corporation, association or joint venture which has been awarded a San Antonio Water System contract.

Subconsultants/contractor: Any named person, firm partnership, corporation, association or joint venture identified as providing work, labor, services, supplies, equipment, materials or any combination of the foregoing under contract with a prime consultant/contractor on a San Antonio Water System contract.

Small, Minority and Woman Business (SMWB): All business structures Certified by the Small Business Administration, Texas State Comptroller's Office, or the South Central Texas Regional Certification Agency that are 51% owned, operated, and controlled by a Small Business Enterprise, a Minority Business Enterprise, or a Woman-owned Business Enterprise.

Small Business Enterprise (SBE): A business structure that is Certified by the Small Business Administration, Texas State Comptroller's Office or the South Central Texas Regional Certification Agency as being 51% owned, operated and controlled by someone who is legally residing in or a citizen of the United States, and the business structure meets the U.S. Small Business Administration's (SBA) size standard for a small business within the appropriate industry category

Minority Business Enterprise (MBE): A business structure that is Certified by the Small Business Administration, Texas State Comptroller's Office or the South Central Texas Regional Certification Agency as being 51% owned, operated, and controlled by an ethnic minority group member(s) who is legally residing in or a citizen of the United States. For purposes of the SMWB program, the following are recognized as minority groups:

- a. African American Persons having origins in any of the black racial groups of Africa as well as those identified as Jamaican, Trinidadian or West Indian.
- b. Hispanic American Persons of Mexican, Puerto Rican, Cuban, Spanish or Central or South American origin.
- c. Asian-Pacific American Persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent or the Pacific Islands.
- d. Asian-Indian American Persons whose origins are from India, Pakistan, Bangladesh or Sri Lanka.
- e. American Indian/Native American Persons having no less than 1/16 percentage origin in any of the American Indian Tribes, as recognized by the U.S. Department of the Interior's Bureau of Indian Affairs and as demonstrated by possession of personal tribal role documents.

Women Business Enterprise (WBE): A business structure that is Certified by the Small Business Administration, Texas State Comptroller's Office or the South Central Texas Regional Certification Agency as being 51% owned, operated and controlled by a woman or women who are legally residing in or citizens of the United States.

African American Business Enterprise (AABE): A business structure that is Certified by the Small Business Administration, Texas State Comptroller's Office or the South Central Texas Regional Certification Agency as being 51% owned, operated and controlled by African American minority group member(s) who are legally residing in or are citizens of the United States.

Joint Venture: A limited association of two or more persons to carry out a single business enterprise for profit, for which purpose they combine their property, money, efforts, skills and knowledge.

Contractor's Payment to Sub-Contractors:

The contractor will be required to report the actual payments to all subcontractors, utilizing the Subcontracting Payment and Utilization Reporting (S.P.U.R.) System, in the time intervals and format prescribed by SAWS. This information will be utilized for SMWB participation tracking purposes. Any unjustified failure to comply with the committed SWMB levels may be considered breach of contract.

Web Submittal of Subcontractor Payment Reports:

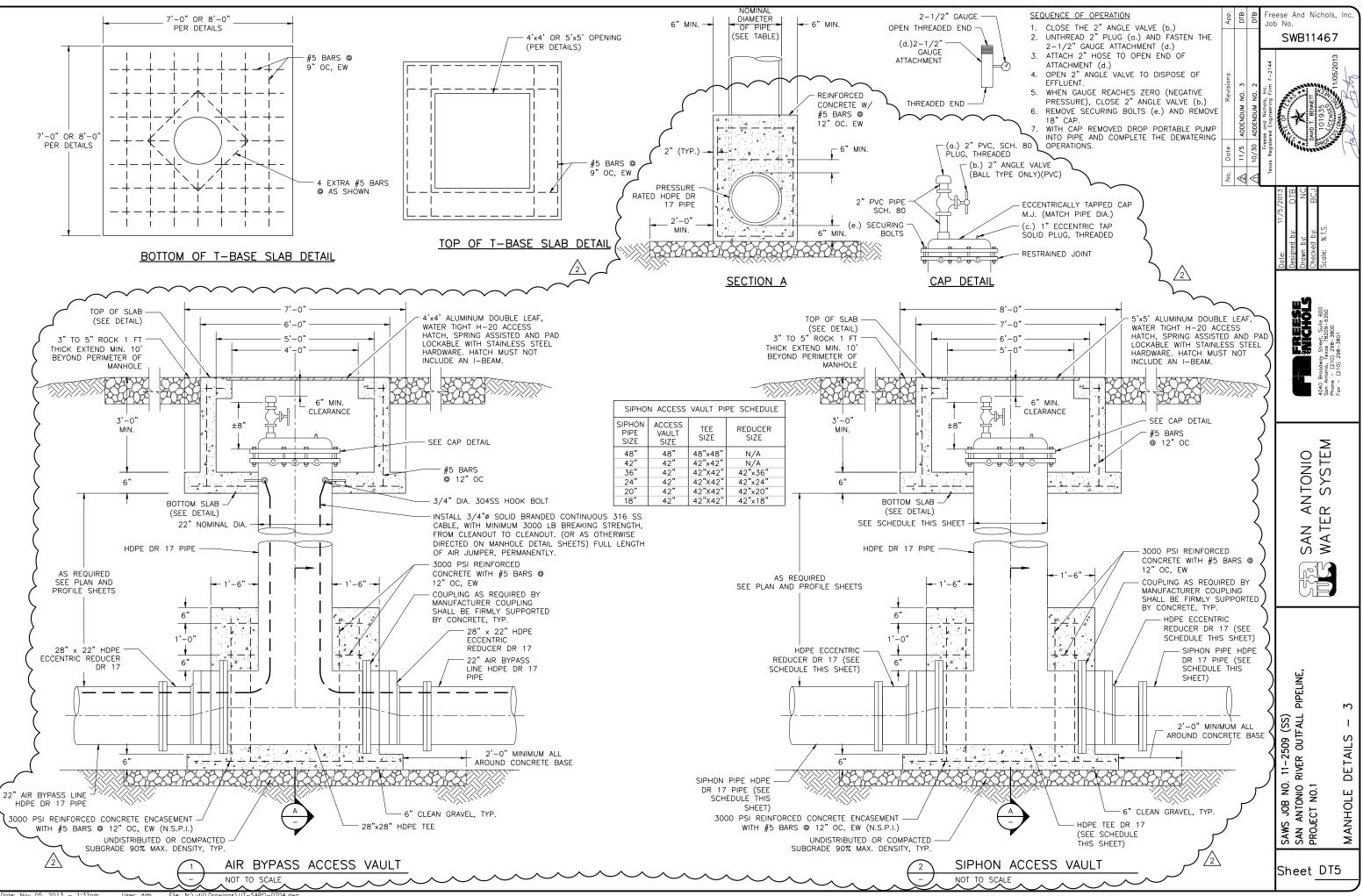
The Contractor is required to electronically submit monthly subcontractor payment information utilizing the Sub-contracting Payment and Utilization Reporting (S.P.U.R.) System, beginning with the first SAWS payment for services under the contract, and with every payment thereafter (for the duration of the contract). Electronic submittal of monthly subcontractor payment information will be accessed through a link on SAWS' "Business Center" web page.

The Contractor and all subcontractors will be provided a unique log-in credential and password to access the SAWS subcontractor payment reporting system. The link may also be accessed through the following internet address: https://saws.smwbe.com/

CONFLICT OF INTEREST QUESTIONNAIRE NOTE:

"Effective January 1, 2006, Chapter 176 of the Texas local Government Code requires that persons, or their agents, who seek to contract for the sale or purchase of property, goods, or services with SAWS shall file a completed conflict of interest questionnaire with the SAWS Manager of Contract Administration no later than the 7^{th} business day after the date that the person: (1) begins contract discussions or negotiations with SAWS; or (2) submits to SAWS an application, response to a request for proposals or bids, correspondence, or another writing related to a potential agreement with SAWS. The Conflict of Business questionnaire is attached on the following page and is available from the Texas Ethics Commission at www.ethics.state.tx.us. Completed Conflict of Interest questionnaires should be included with your bid or may be delivered by hand, within 7 business days of the bid opening, to the Manager of Contract Administration. If mailing a completed Conflict of Interest questionnaire, mail to: David Gonzales, Manager, Contract Administration, 2800 U.S. Hwy 281 North, San Antonio, TX 78212. If delivering a completed Conflict of Interest questionnaire, deliver to Contract Administration, Tower 2, 1st Floor, Room 171, 2800 U.S. Hwy 281 North, San Antonio, TX 78212. Please consult your own legal advisor if you have questions regarding the statute or form."

CONFLICT OF INTEREST QUESTIONNAIRE For vendor or other person doing business with local governmental entit	FORM CIQ				
	OFFICE USE ONLY				
This questionnaire reflects changes made to the law by H.B. 1491, 80th Leg., Regular Session. This questionnaire is being filed in accordance with Chapter 176, Local Government Code by a person who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the person meets requirements under Section 176.006(a).	Date Received				
By law this questionnaire must be filed with the records administrator of the local governmental entity not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. <i>See</i> Section 176.006, Local Government Code.					
A person commits an offense if the person knowingly violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.					
1 Name of person who has a business relationship with local governmental entity.					
2 Check this box if you are filing an update to a previously filed questionnaire.					
(The law requires that you file an updated completed questionnaire with the ap later than the 7th business day after the date the originally filed questionnaire becom					
3 Name of local government officer with whom filer has employment or business relationshi	p.				
Name of Officer					
This section (item 3 including subparts A, B, C & D) must be completed for each officer with whom the filer has an employment or other business relationship as defined by Section 176.001(1-a), Local Government Code. Attach additional pages to this Form CIQ as necessary.					
A. Is the local government officer named in this section receiving or likely to receive taxable income, other than investment income, from the filer of the questionnaire?					
Yes No					
B. Is the filer of the questionnaire receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer named in this section AND the taxable income is not received from the local governmental entity?					
Yes No					
C. Is the filer of this questionnaire employed by a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?					
Yes No					
D. Describe each employment or business relationship with the local government officer named in this section.					
4					
Signature of person doing business with the governmental entity	Date				



Date: Nov 05, 2013 – 1:32pm